# On the full-waveform inversion of seismic moment tensors

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## Outline

#### Topological Derivative Concept

#### 2 Applications of the Topological Derivative Method

#### 3 Inverse Seismic Problem

- Problem Setting
- Sensitivity Analysis
- Reconstruction Algorithm
- Numerical Examples

#### 4 Conclusions

## **Topological Derivative Concept**



Sokolowski & Zochowski, 1999

$$\psi(\Omega_{\varepsilon}(\widehat{x})) = \psi(\Omega) + f(\varepsilon)\mathcal{T}(\widehat{x}) + o(f(\varepsilon))$$
,  
where  $\Omega_{\varepsilon}(\widehat{x}) = \Omega \setminus \overline{\omega_{\varepsilon}(\widehat{x})}$  and  $f(\varepsilon) \to 0$ , when  $\varepsilon \to 0$ .

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## Applications of the Topological Derivative Method

$$\mathcal{T}(\widehat{x}) = \lim_{arepsilon o 0} rac{\psi(\Omega_arepsilon(\widehat{x})) - \psi(\Omega)}{f(arepsilon)}$$

- Topology Design: Allaire, Amstutz, Canelas, Ferrer, Leugering, Sokolowski, Zochowski ...
- Inverse Problems: Ammari, Bonnet, Capdeboscq, Hrizi, Kang, Laurain, Prakash, Rapún ...
- Multi-Scale Material Design: Giusti, Souza Neto, Toader ...
- Image Processing: Auroux, Belaid, Drogoul, Masmoudi ...
- Fracture and Damage Modeling: Allaire, Jouve, Van Goethem, Xavier ...
- Theory Development: Amstutz, Delfour, Nazarov, Sokolowski ...,

## Applications of the Topological Derivative Method



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Seismic Inverse Problem

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## Problem Setting





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Find the source term  $f^* \in C_{\delta}(\Omega)$ , such that

$$\begin{cases} -\operatorname{div}\boldsymbol{\sigma}(\mathsf{z}) - \rho\omega^2 \mathsf{z} &= \mathsf{f}^* & \text{ in } \Omega ,\\ \boldsymbol{\sigma}(\mathsf{z}) &= \mathbb{D}(\nabla \mathsf{z})^s ,\\ \mathsf{z} &= \mathsf{0} & \text{ on } \Gamma_D ,\\ \mathsf{z} &= \mathsf{u}^* & \text{ on } \Gamma_m \subset \Gamma_N ,\\ \boldsymbol{\sigma}(\mathsf{z})\mathsf{n} &= \mathsf{0} & \text{ on } \Gamma_N , \end{cases}$$

$$C_{\delta}(\Omega) = \left\{ \mathsf{f} : \Omega \to \mathbb{C}^2 \mid \mathsf{f}(\mathsf{x}) = \sum_{i=1}^{N} \mathsf{M}_i \nabla_{\mathsf{x}_i} \delta(\mathsf{x} - \mathsf{x}_i), \mathsf{M}_i = \mathsf{M}_i^{\top} \right\},\$$
$$\boxed{N^*, \mathsf{M}_i^*, \mathsf{x}_i^*}$$

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$$\Gamma_m \subset \Gamma_N$$
$$\mathcal{J}(\mathsf{u}) := \frac{1}{2} \int_{\Gamma_m} (\mathsf{u} - \mathsf{u}^*) \cdot \overline{(\mathsf{u} - \mathsf{u}^*)},$$

Find the displacement vector field u, such that

$$\begin{cases} -\operatorname{div}\boldsymbol{\sigma}(\mathsf{u}) - \rho\omega^2 \mathsf{u} &= \mathsf{f} & \text{in } \Omega, \\ \boldsymbol{\sigma}(\mathsf{u}) &= \mathbb{D}(\nabla \mathsf{u})^s, \\ \mathsf{u} &= \mathsf{0} & \text{on } \Gamma_D, \\ \boldsymbol{\sigma}(\mathsf{u})\mathsf{n} &= \mathsf{0} & \text{on } \Gamma_N, \end{cases}$$



$$f_{\delta}(x) = f(x) + \sum_{i=1}^{N} M_i \nabla_{x_i} \delta(x - x_i)$$

Find the displacement vector field  $u_{\delta},$  such that

$$\begin{cases} -\operatorname{div}\boldsymbol{\sigma}(\mathsf{u}_{\delta}) - \rho\omega^{2}\mathsf{u}_{\delta} &= \mathsf{f}_{\delta} & \text{ in } \Omega ,\\ \boldsymbol{\sigma}(\mathsf{u}_{\delta}) &= \mathbb{D}(\nabla\mathsf{u}_{\delta})^{s} ,\\ \mathsf{u}_{\delta} &= 0 & \text{ on } \Gamma_{D} ,\\ \boldsymbol{\sigma}(\mathsf{u}_{\delta})\mathsf{n} &= 0 & \text{ on } \Gamma_{N} .\\ \end{cases} \\ \mathcal{J}(\mathsf{u}_{\delta}) = \frac{1}{2} \int_{\Gamma_{m}} (\mathsf{u}_{\delta} - \mathsf{u}^{*}) \cdot \overline{(\mathsf{u}_{\delta} - \mathsf{u}^{*})}. \end{cases}$$

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## Sensitivity Analysis

$$\begin{split} \mathcal{J}(\mathsf{u}_{\delta}) &= \mathcal{J}(\mathsf{u}) + \int_{\mathsf{\Gamma}_m} \sum_{i=1}^N A_i^{kl} \Re\left\{\mathsf{p}_i^{kl} \cdot \overline{(\mathsf{u}-\mathsf{u}^*)}\right\} \\ &- \int_{\mathsf{\Gamma}_m} \sum_{i=1}^N B_i^{kl} \Im\left\{\mathsf{p}_i^{kl} \cdot \overline{(\mathsf{u}-\mathsf{u}^*)}\right\} \\ &+ \frac{1}{2} \int_{\mathsf{\Gamma}_m} \sum_{i=1}^N \sum_{j=1}^N A_i^{kl} A_j^{mn} \mathsf{p}_i^{kl} \cdot \overline{\mathsf{p}_j^{mn}} \\ &+ \frac{1}{2} \int_{\mathsf{\Gamma}_m} \sum_{i=1}^N \sum_{j=1}^N B_i^{kl} B_j^{mn} \mathsf{p}_i^{kl} \cdot \overline{\mathsf{p}_j^{mn}} \;, \end{split}$$

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3

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## Sensitivity Analysis

$$\begin{cases} -\mathrm{div}\boldsymbol{\sigma}(\mathsf{p}_i^{kl}) - \rho\omega^2 \mathsf{p}_i^{kl} &= (\mathsf{e}_k \otimes \mathsf{e}_l) \nabla_i \delta \quad \text{in} \quad \Omega ,\\ \boldsymbol{\sigma}(\mathsf{p}_i^{kl}) &= \mathbb{D}(\nabla \mathsf{p}_i^{kl})^s ,\\ \mathsf{p}_i^{kl} &= 0 \qquad \text{on} \quad \Gamma_D ,\\ \boldsymbol{\sigma}(\mathsf{p}_i^{kl})\mathsf{n} &= 0 \qquad \text{on} \quad \Gamma_N , \end{cases}$$

 $M_i = M_i^{\top}$  and  $M_i = A_i + iB_i$ 

$$\begin{aligned} &\alpha_1^i = A_i^{11}, \ \alpha_2^i = A_i^{22}, \ \alpha_3^i = A_i^{12} = A_i^{21}, \\ &\beta_1^i = B_i^{11}, \ \beta_2^i = B_i^{22}, \ \beta_3^i = B_i^{12} = B_i^{21}. \end{aligned}$$

47

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$$\begin{split} \Psi(N,\boldsymbol{\xi},(\boldsymbol{\alpha},\boldsymbol{\beta})) &:= \quad \mathcal{J}(\boldsymbol{\mathsf{u}}_{\delta}) - \mathcal{J}(\boldsymbol{\mathsf{u}}) \\ &= \quad \boldsymbol{\mathsf{g}}\cdot\boldsymbol{\alpha} + \frac{1}{2}\boldsymbol{\mathsf{H}}\boldsymbol{\alpha}\cdot\boldsymbol{\alpha} - \boldsymbol{\mathsf{h}}\cdot\boldsymbol{\beta} + \frac{1}{2}\boldsymbol{\mathsf{H}}\boldsymbol{\beta}\cdot\boldsymbol{\beta} \;, \end{split}$$

$$\boldsymbol{\xi} = (\mathbf{x}_1, \dots, \mathbf{x}_N), \\ \boldsymbol{\alpha} = (\alpha_1^1, \alpha_2^1, \alpha_3^1, \cdots, \alpha_1^N, \alpha_2^N, \alpha_3^N)^\top, \\ \boldsymbol{\beta} = (\beta_1^1, \beta_2^1, \beta_3^1, \cdots, \beta_1^N, \beta_2^N, \beta_3^N)^\top.$$



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## Reconstruction Algorithm

$$\begin{split} \Psi(N,\boldsymbol{\xi},(\boldsymbol{\alpha},\boldsymbol{\beta})) &= \mathbf{g} \cdot \boldsymbol{\alpha} + \frac{1}{2} \mathsf{H} \boldsymbol{\alpha} \cdot \boldsymbol{\alpha} - \mathsf{h} \cdot \boldsymbol{\beta} + \frac{1}{2} \mathsf{H} \boldsymbol{\beta} \cdot \boldsymbol{\beta} \;, \\ & \langle D_{\boldsymbol{\alpha}} \Psi(N,\boldsymbol{\xi},(\boldsymbol{\alpha},\boldsymbol{\beta});\delta\boldsymbol{\alpha}\rangle \;\; = \;\; 0 \quad \forall \delta \boldsymbol{\alpha} \in \mathbb{R}^{3N} \;, \\ & \langle D_{\boldsymbol{\beta}} \Psi(N,\boldsymbol{\xi},(\boldsymbol{\alpha},\boldsymbol{\beta});\delta\boldsymbol{\beta}\rangle \;\; = \;\; 0 \quad \forall \delta \boldsymbol{\beta} \in \mathbb{R}^{3N} \;, \\ & \mathsf{H} \boldsymbol{\alpha} = -\mathsf{g} \;\; \mathsf{and} \;\; \mathsf{H} \boldsymbol{\beta} = \mathsf{h} \\ & \boldsymbol{\alpha} = \boldsymbol{\alpha}(\boldsymbol{\xi}) \;\; \mathsf{and} \;\; \boldsymbol{\beta} = \boldsymbol{\beta}(\boldsymbol{\xi}) \\ \boldsymbol{\xi}^{\star} &= \operatorname*{argmin}_{\boldsymbol{\xi} \in \mathsf{X}} \left\{ \Psi(N,\boldsymbol{\xi},(\boldsymbol{\alpha}(\boldsymbol{\xi}),\boldsymbol{\beta}(\boldsymbol{\xi}))) = \frac{1}{2} (\mathsf{g} \cdot \boldsymbol{\alpha}(\boldsymbol{\xi}) - \mathsf{h} \cdot \boldsymbol{\beta}(\boldsymbol{\xi})) \right\} \\ & \boxed{\boldsymbol{\alpha}^{\star} = \boldsymbol{\alpha}(\boldsymbol{\xi}^{\star}) \;\; \mathsf{and} \;\; \boldsymbol{\beta}^{\star} = \boldsymbol{\beta}(\boldsymbol{\xi}^{\star}) \end{split}$$

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#### Complexity

$$\mathcal{C}(M,N) = \begin{pmatrix} M \\ N \end{pmatrix} N^3 = \frac{M!}{N!(M-N)!} N^3$$

where M is the cardinality of the set of admissible locations X and N is the number of trial faults.



## Reconstruction Algorithm





(a) cavitarion (b) tensile (c) shear

Figure: Graphic representation of the moment tenosr  $M_i$ 



17 / 30

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**Figure:** Specimen

18/30

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Figure: Reconstruction of a single micro-seismic source.



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Figure: Reconstruction of a pair of micro-seismic sources.





Figure: Reconstruction of a triplet of micro-seismic sources.



21/30

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#### Reconstruction under random modeling errors



Figure: Corrupted background with White Gaussian Noise (WGN)



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Reconstruction of a single (mode II) micro-seismic source



Reconstruction of a single (mode II) micro-seismic source



Reconstruction of a single (mode II) micro-seismic source



Reconstruction of a single (mode II) micro-seismic source



Reconstruction of a triplet of micro-seismic sources



Figure: WGN = 21%, N = 3



27 / 30

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Reconstruction of a triplet of micro-seismic sources



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## Conclusions

In this work, the full-waveform inversion of seismic point sources in terms of event locations and seismic moment tensors has been investigated. The novelty of the proposed methodology resides in a hybrid grid search for micro-seismic source locations that encapsulates the optimality condition on the respective moment tensors. The significance of the proposed frequency domain algorithm is three-fold:

- it allows for the reconstruction of non-synchronous seismic sources, i.e. micro-fractures whose seismic moment tensor may evolve (in terms of eigenvalues and eigenvectors) during the fracture creation process;
- it permits simultaneous (location and moment tensor) reconstruction of multiple point sources, which in physical terms allows for several micro-seismic events to overlap in time; and





29 / 30

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## Thank you very much! https://novotny.lncc.br

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